

Nota:

Reglementarile emise de Statele Unite ale Americii referitoare la fiscalitate, valori mobiliare si piata de capital se aplica si in afara teritoriului Statelor Unite ale Americii. Astfel, toate entitatile din afara SUA care desfasoara servicii de investitii financiare sunt obligate sa respecte proceduri specifice in cazul in care clienti care au elemente ce ii asimileaza notiunii de US Person solicita astfel de servicii.

Fiind o companie de servicii financiare care opereaza la nivel global, ING evalueaza in mod constant toate activitatile comerciale din punct de vedere economic, strategic si al riscului. In urma acestor evaluari, ING a decis ca, din motive comerciale, entitatile ING din afara Statelor Unite nu vor mai furniza produse de investitii persoanelor care au elemente ce ii asimileaza notiunii de US Person .

U.S. Person inseamna, fara a se limita la, urmatoarele: orice persoana fizica care detine cetatenia US (sau dubla cetatenie, dintre care una este cetatenie americana), are resedinta US (inclusiv, dar nelimitandu-se la, resedinta prin posesia unei carti verzi de resedinta in US (Green Card)), detine calitatea de membru al unui grup definit US (de ex.: ambasada US, consulat US, orice agentie inregistrata ca fiind in sau apartinand US, etc), sau este inregistrata in US cu date de contact (de ex.: numar de telefon fix sau mobil ori numar de fax, in US sau adresa de corespondenta in US), care are un reprezentant sau imputernicit in relatia cu Banca pentru operatiuni de investitii care prezinta una dintre caracteristicile de mai sus.

Statele Unite ale Americii sau **US** inseamna teritoriul statelor componente ale Statelor Unite ale Americii, Washington D.C. (District of Columbia), regiunile, teritoriile si comunitatile afiliate sau aflate sub influenta Statelor Unite ale Americii, precum si teritoriile si posesiunile Statelor Unite, cum ar fi, dar fara a se limita la: Minor Outlying Islands; Guam; Northern Mariana Islands; Puerto Rico; U.S. Virgin Islands; American Samoa etc.

Disclaimer:

Various U.S. securities and tax regulations relating to the provision of certain securities activities have an extraterritorial reach. As a result, ING entities outside the U.S. are required to maintain procedures specifically aimed at designated U.S. persons to be able to provide these activities.

As a financial services company operating in a global environment, ING constantly evaluates all businesses from an economic, strategic and risk perspective. As a result, ING has concluded that for business reasons ING entities outside the U.S. should discontinue providing certain securities activities to designated Natural U.S. Persons.

U.S. Person means, but without limitation to, any of the following: any natural person or private person holding US citizenship (or dual citizenship, one of which shall be that of US), US residence (including, but without being limited, to residency held or acquired based on US Green Card), membership of a US group determined as such or defined as being or belonging to US (e.g. any US Embassy, US Consulate, any agency registered as being or belonging to US etc.), US contact details (e.g. US land line or cell phone number, or facsimile number, US mailing address) or having an empowered person/proxy for investments products with the Bank with one of the above mentioned elements.

United States of America or **US** means the several States of the United States of America, Washington D.C. (the District of Columbia), and the commonwealths, territories, and possessions of the United States, such as, but not limited to, Minor Outlying; Guam; Northern Mariana Islands; Puerto Rico; U.S. Virgin Islands; American Samoa etc.